

Thermodynamic properties of Nonadiabatic systems

Neil Raymond

Supervisors: Pierre-Nicholas Roy, Marcel Nooijen

Overview

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- Quantum Thermodynamics
 - Current Methods

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 - Current Methods

- Nonadiabatic systems
 - Define nonadiabatic

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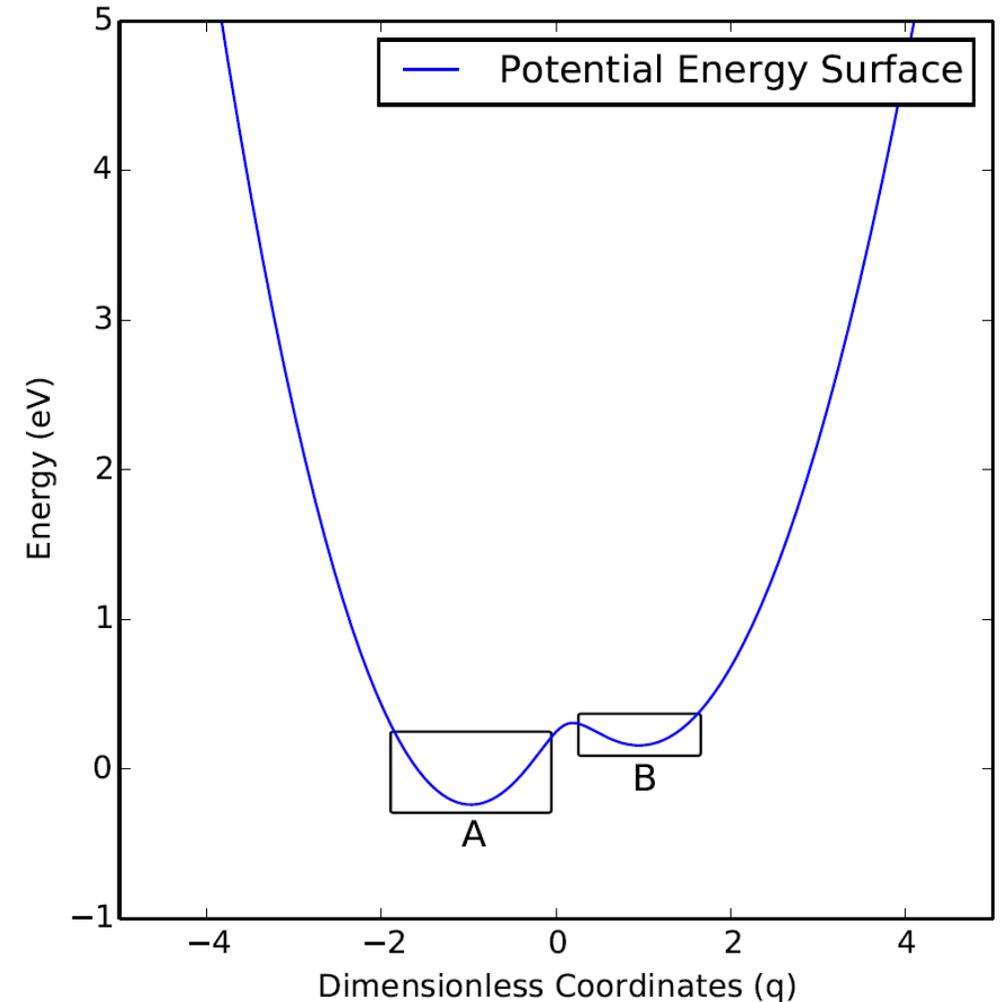
- Quantum Thermodynamics
 - Current Methods
- Nonadiabatic systems
 - Define nonadiabatic
- Theoretical Developments
 - Path Integral formulation

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- Quantum Thermodynamics
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 - Define nonadiabatic
- Theoretical Developments
 - Path Integral formulation
- Current Progress

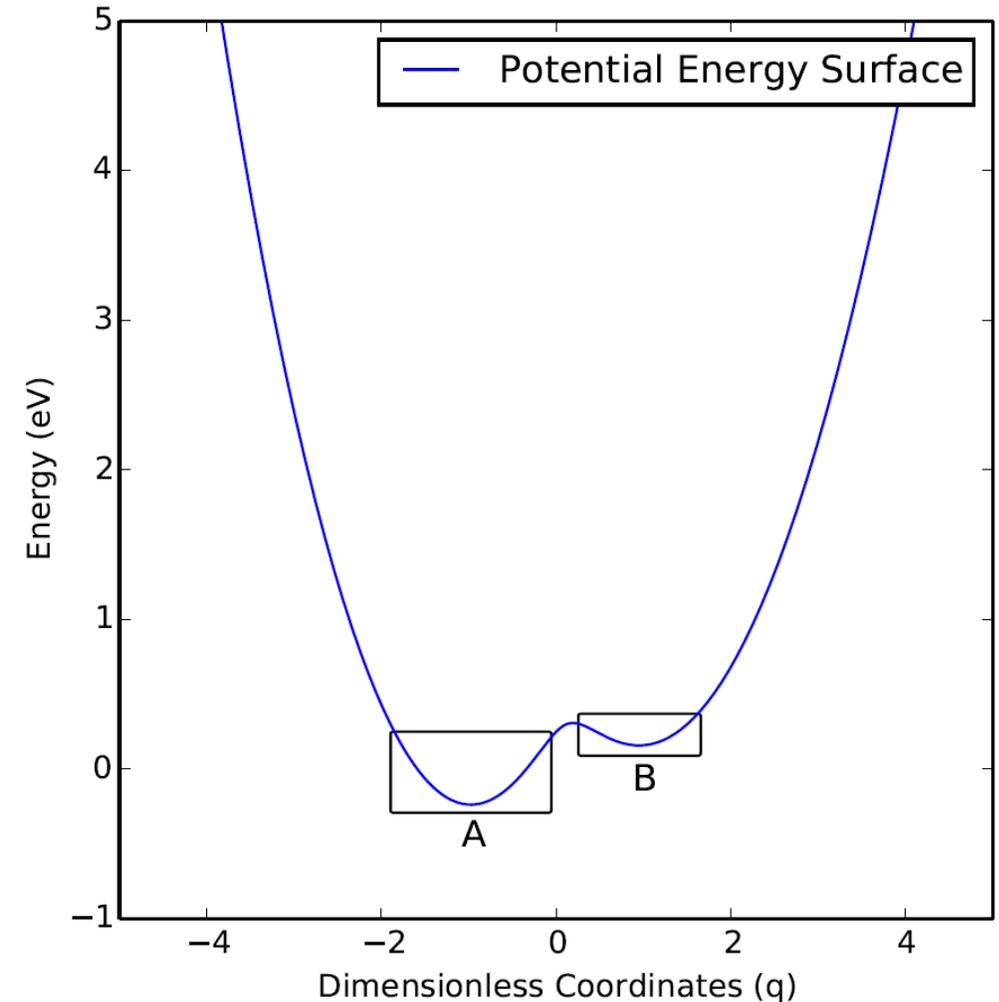
Thermodynamics

- Gibbs energy $G \equiv A + k_B T$
 - measures the maximum or reversible work that may be performed by a thermodynamic system at a constant temperature and pressure



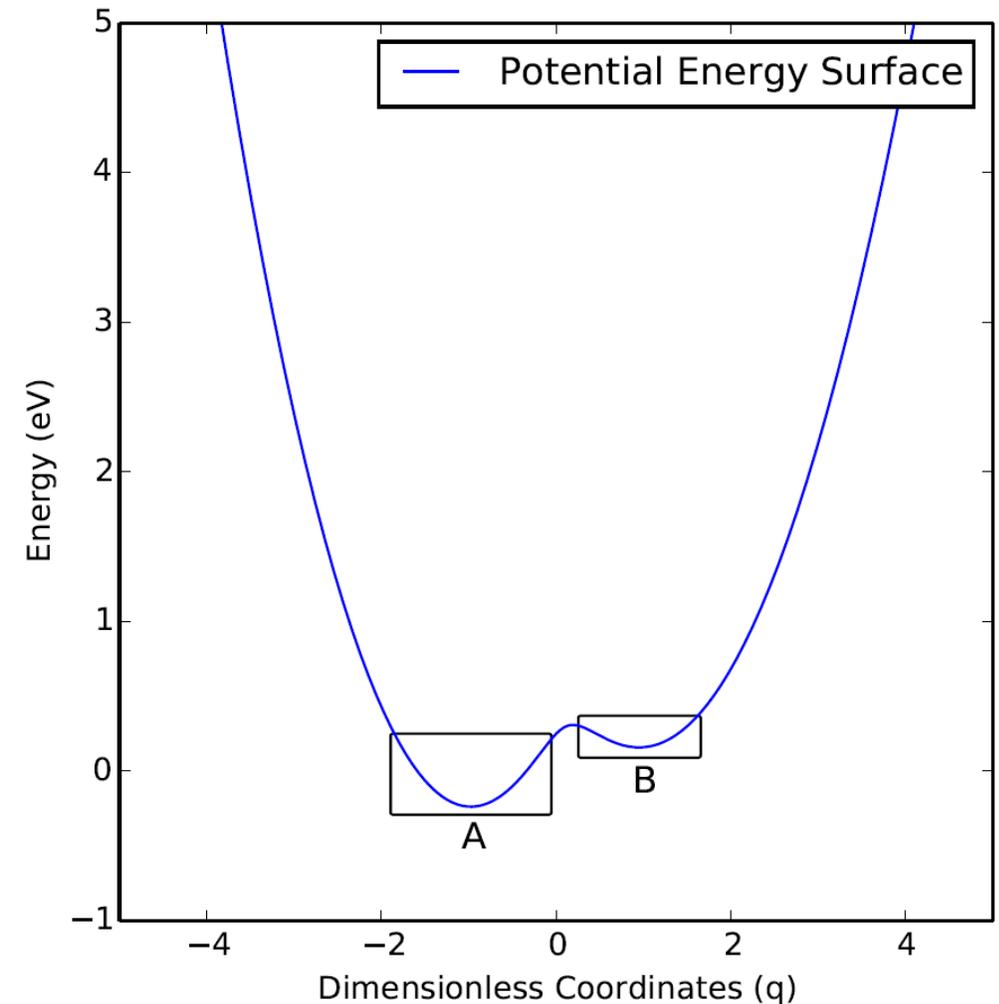
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- Molecular-scale systems $RT = k_B T \times N_A$



Quantum Thermodynamics

Partition function: $Z = \sum_s e^{-\beta E_s}$

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Thermodynamic properties expressed in terms of Z

$A = -k_B T \ln(Z)$	$C_v = \frac{1}{k_B T^2} \left(\frac{1}{Z} \frac{\partial^2 Z}{\partial \beta^2} - \left[\frac{-1}{Z} \frac{\partial Z}{\partial \beta} \right]^2 \right)$
$S = \frac{\partial}{\partial T} (k_B T \ln(Z))$	$U = -\frac{1}{Z} \frac{\partial Z}{\partial \beta} = -\frac{\partial \ln(Z)}{\partial \beta}$

Electronic Structure Calculation

$Z \longrightarrow U, C_v, S, A, G$

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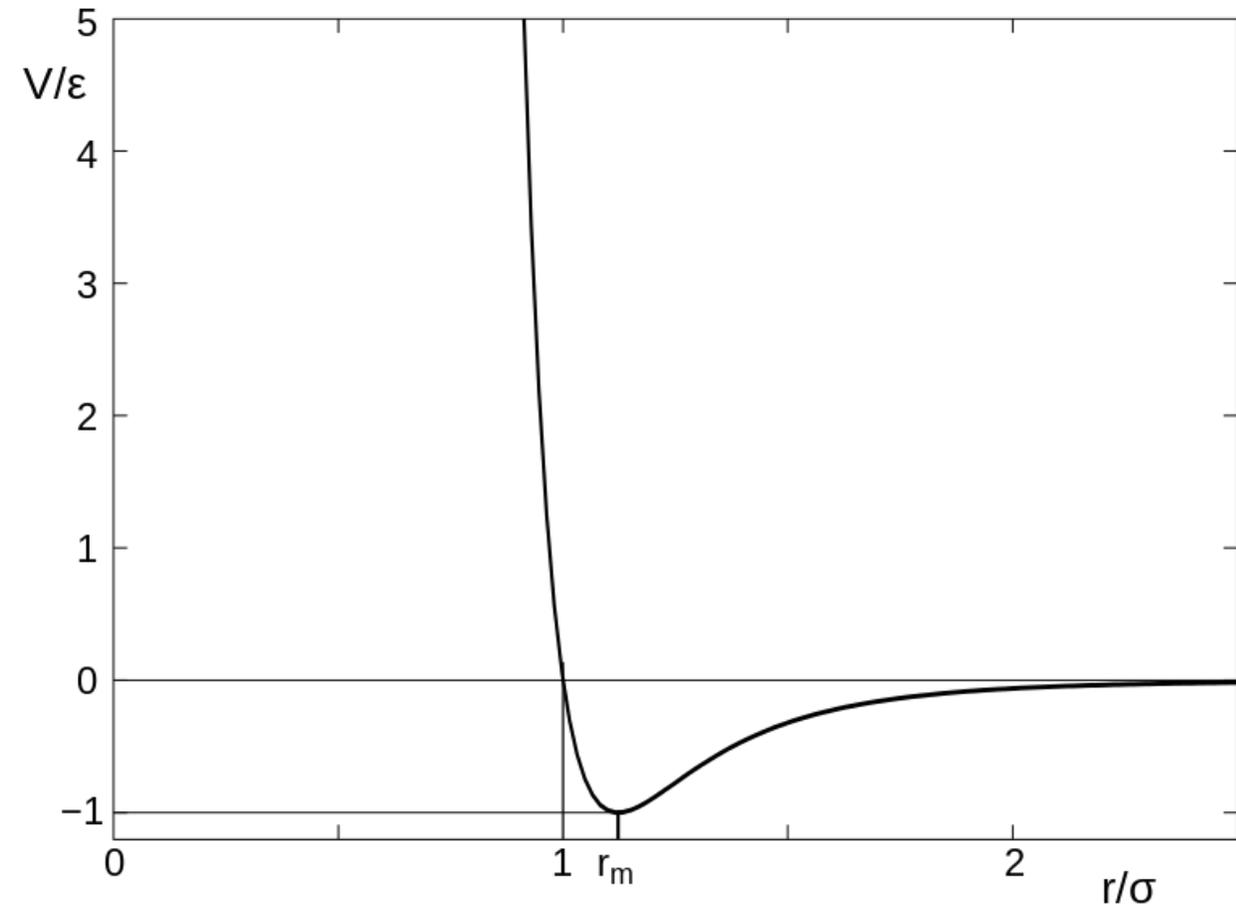
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Common approximations

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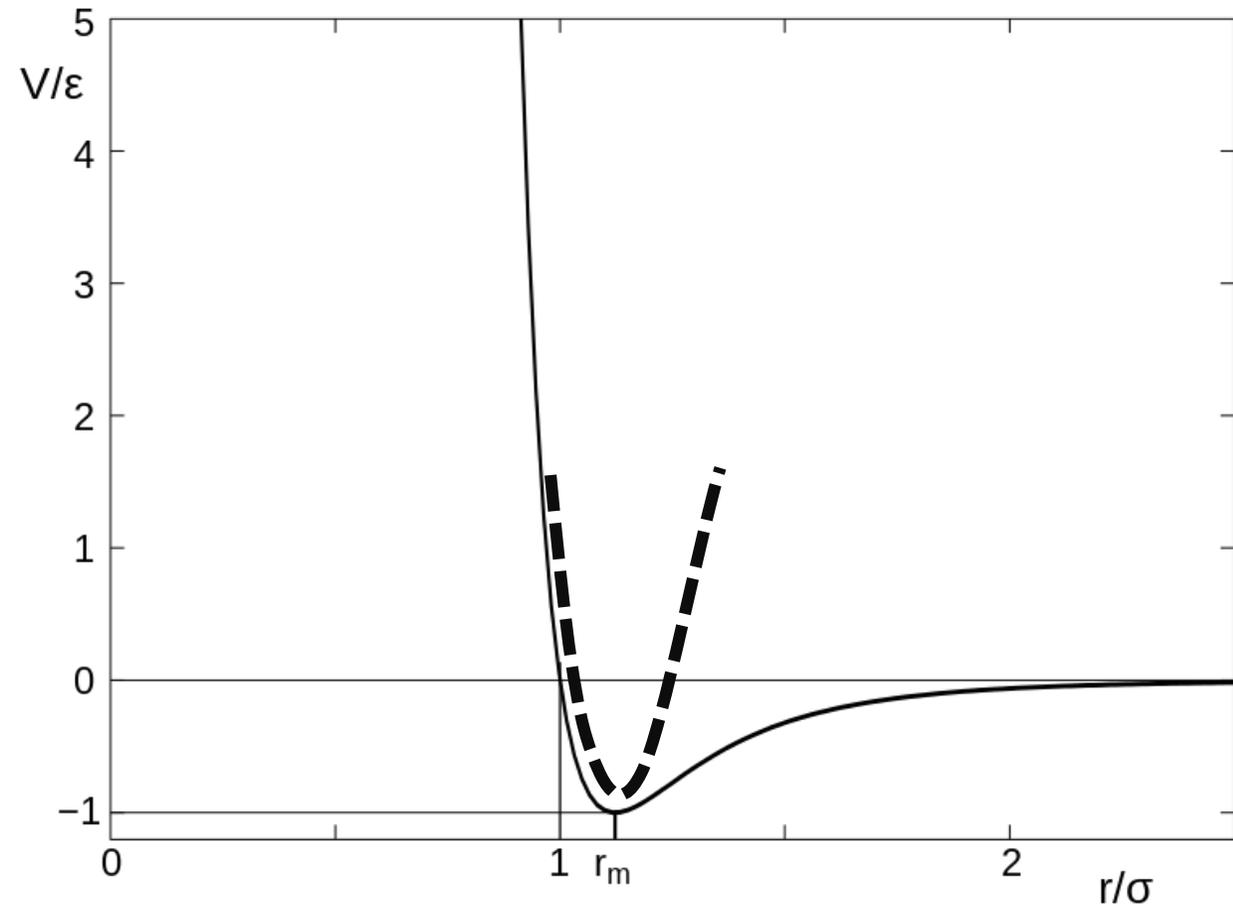
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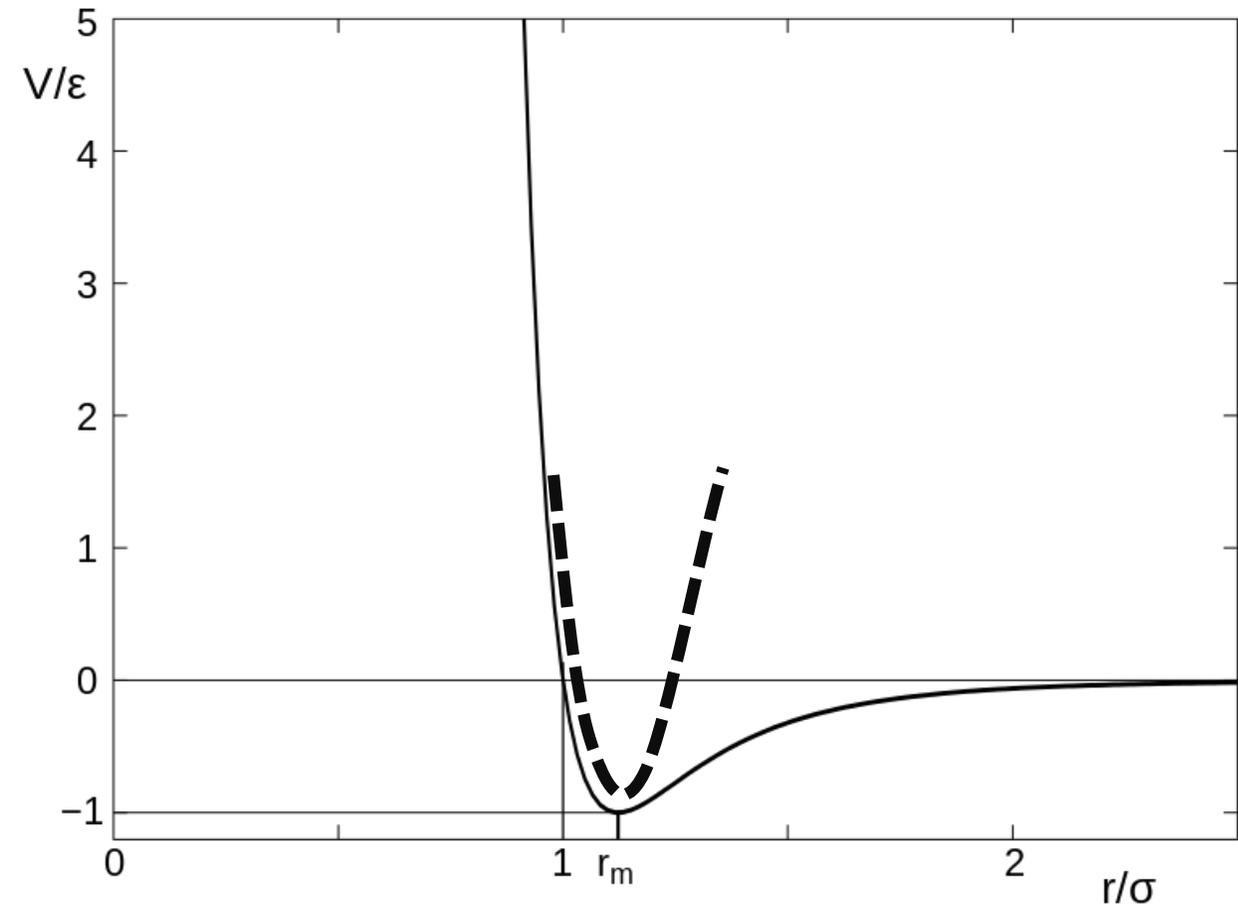
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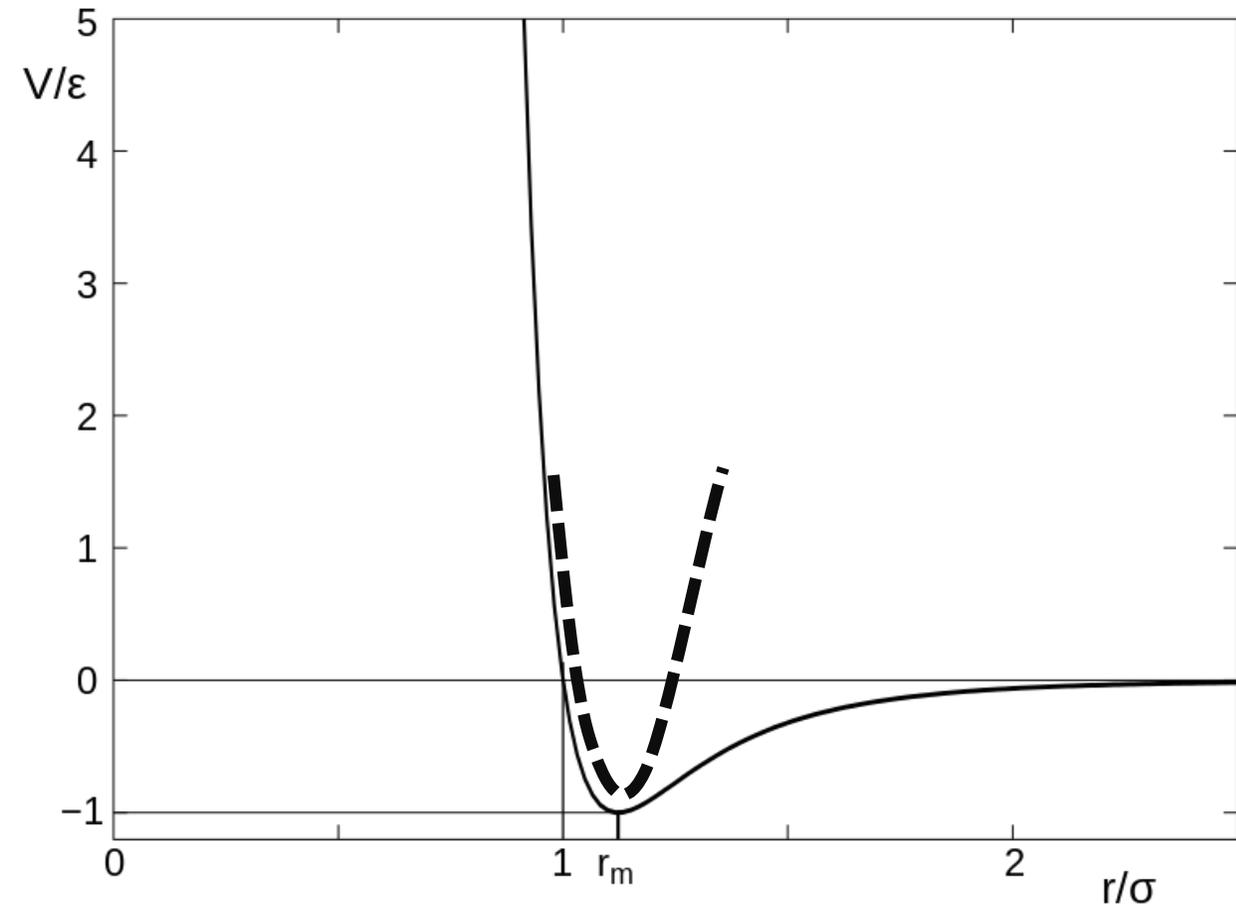
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N₂ Gaussian calculation

- N₂ geometry optimization and vibrational frequency
 - Options: ground state, DFT, B3LYP, cc-pVTZ

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N₂ Gaussian calculation

Temperature	Pressure
298.15 K	1 Atm.

	Z	ln(Z)	E (KCal/Mol)	C _v (Cal/Mol-Kelvin)	S (Cal/Mol-Kelvin)
Electronic	1.000	0.000	0.000	0.000	0.000
Translational	0.583E+07	15.578	0.889	2.981	35.924
Rotational	51.266	3.937	0.592	1.987	9.811
Vibrational	1.000	0.007E-04	3.502	0.002	0.000
Total	0.299E+09	19.514	4.983	4.970	45.735
NIST source					45.7957 ± 0.001

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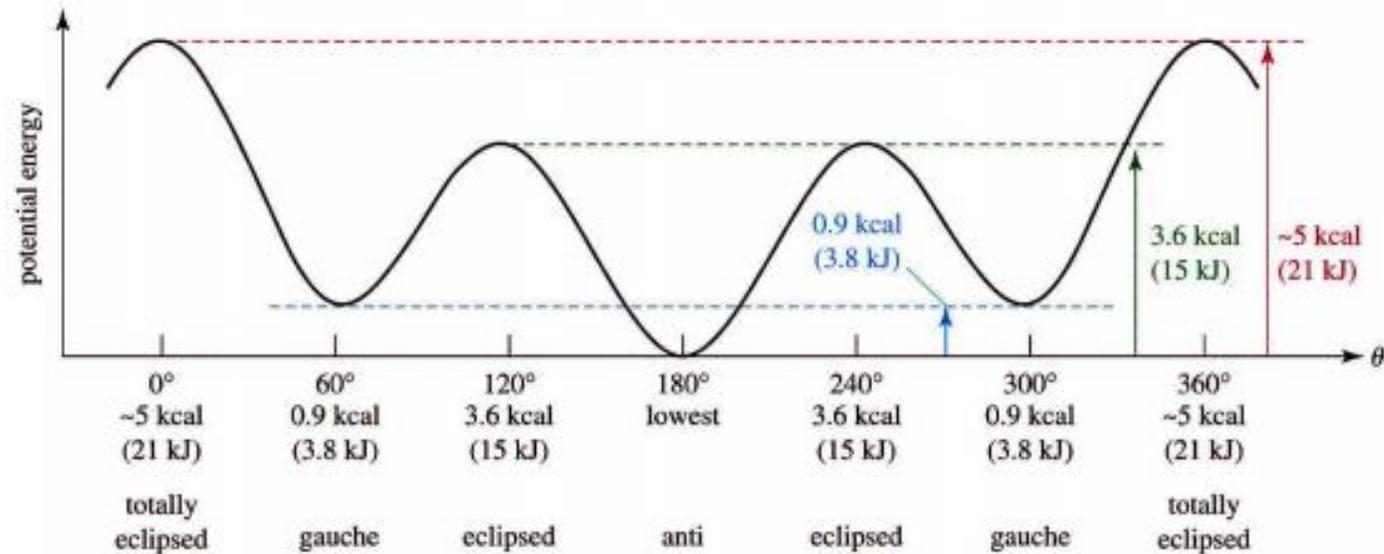
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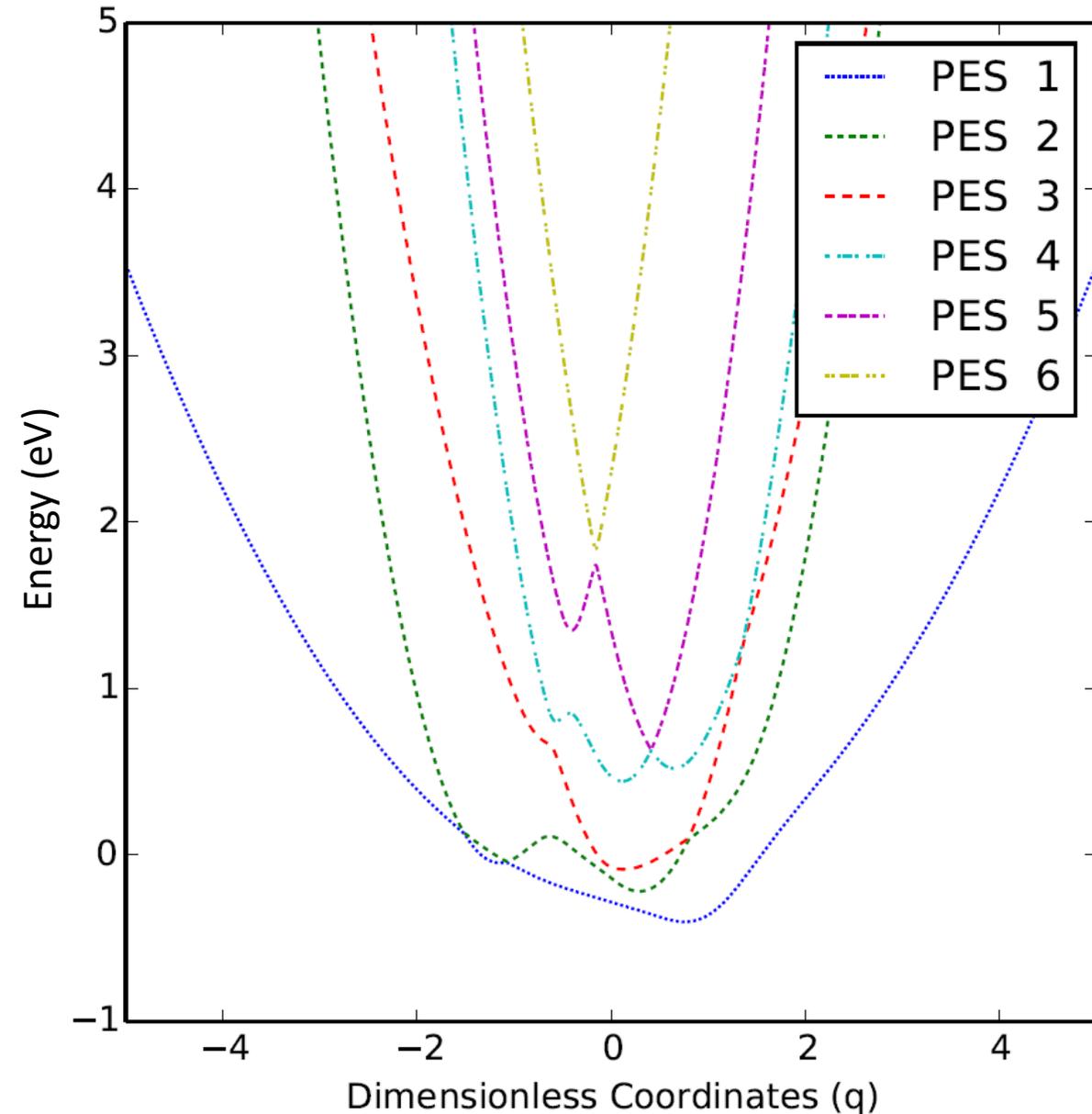
- Systems in solution
- Molecules with many rotational conformers
 - Butane as shown below



Nonadiabatic systems

Systems of interest have many
low lying electronic surfaces

transition metal atoms, radicals

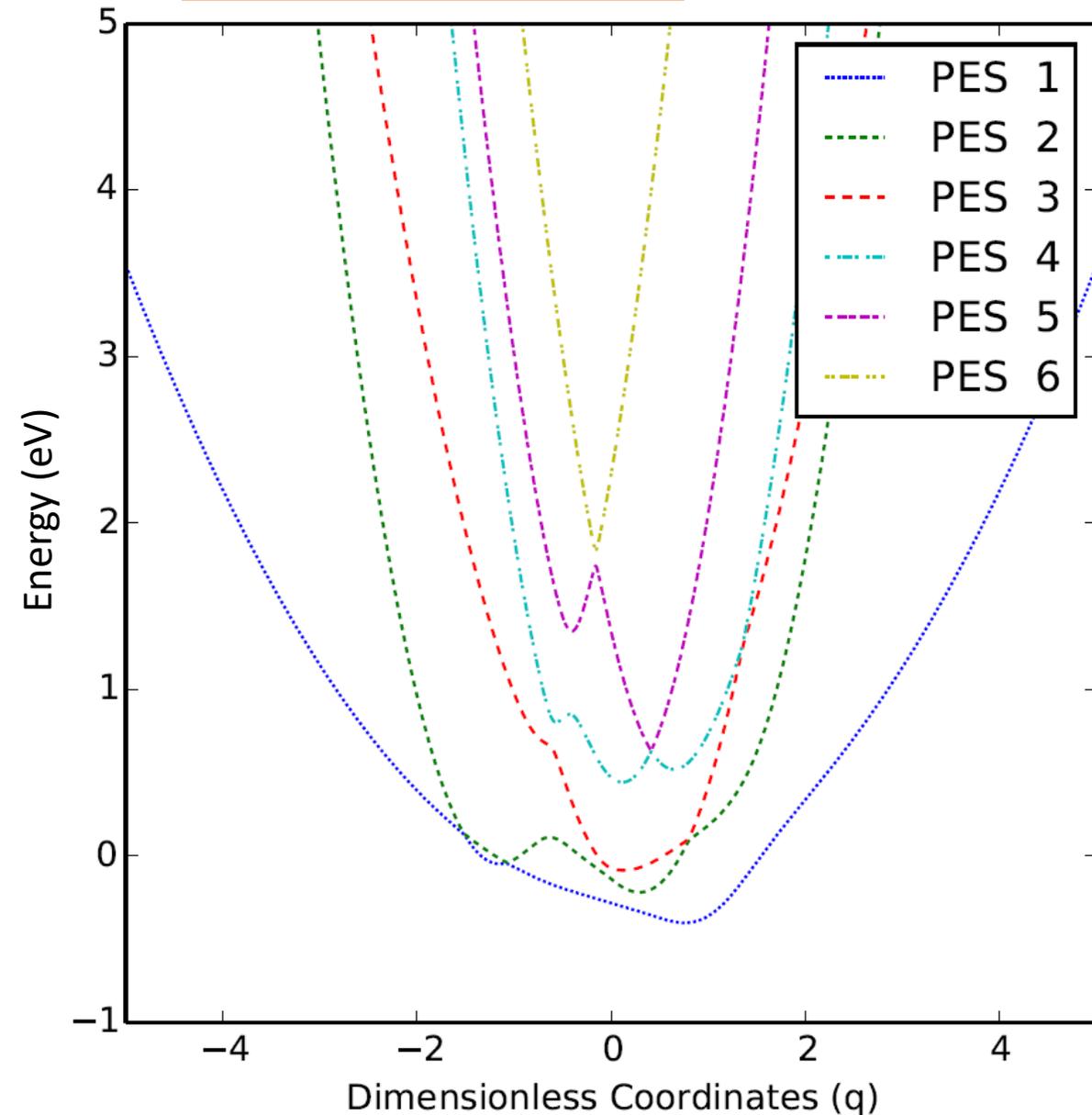


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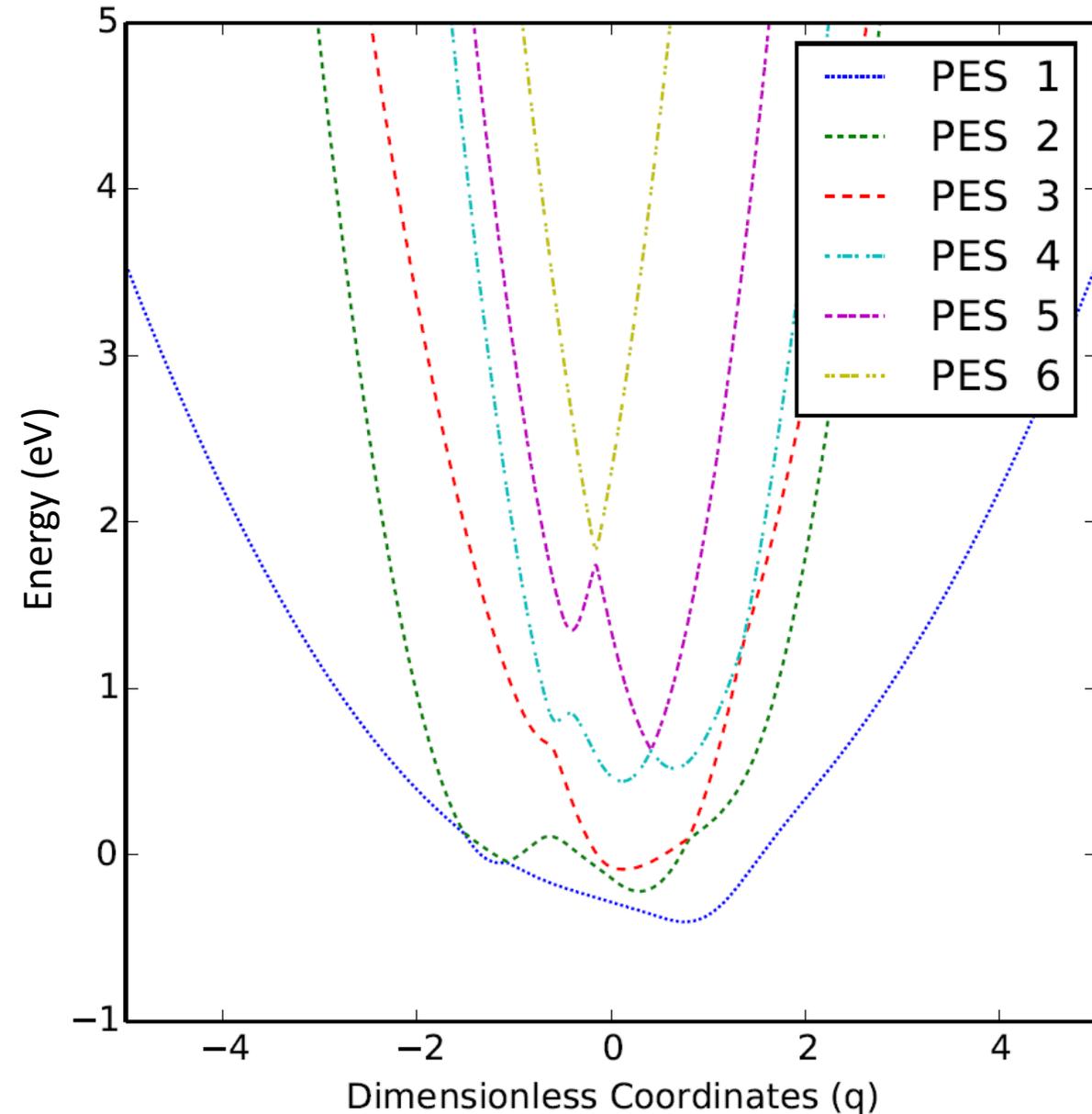
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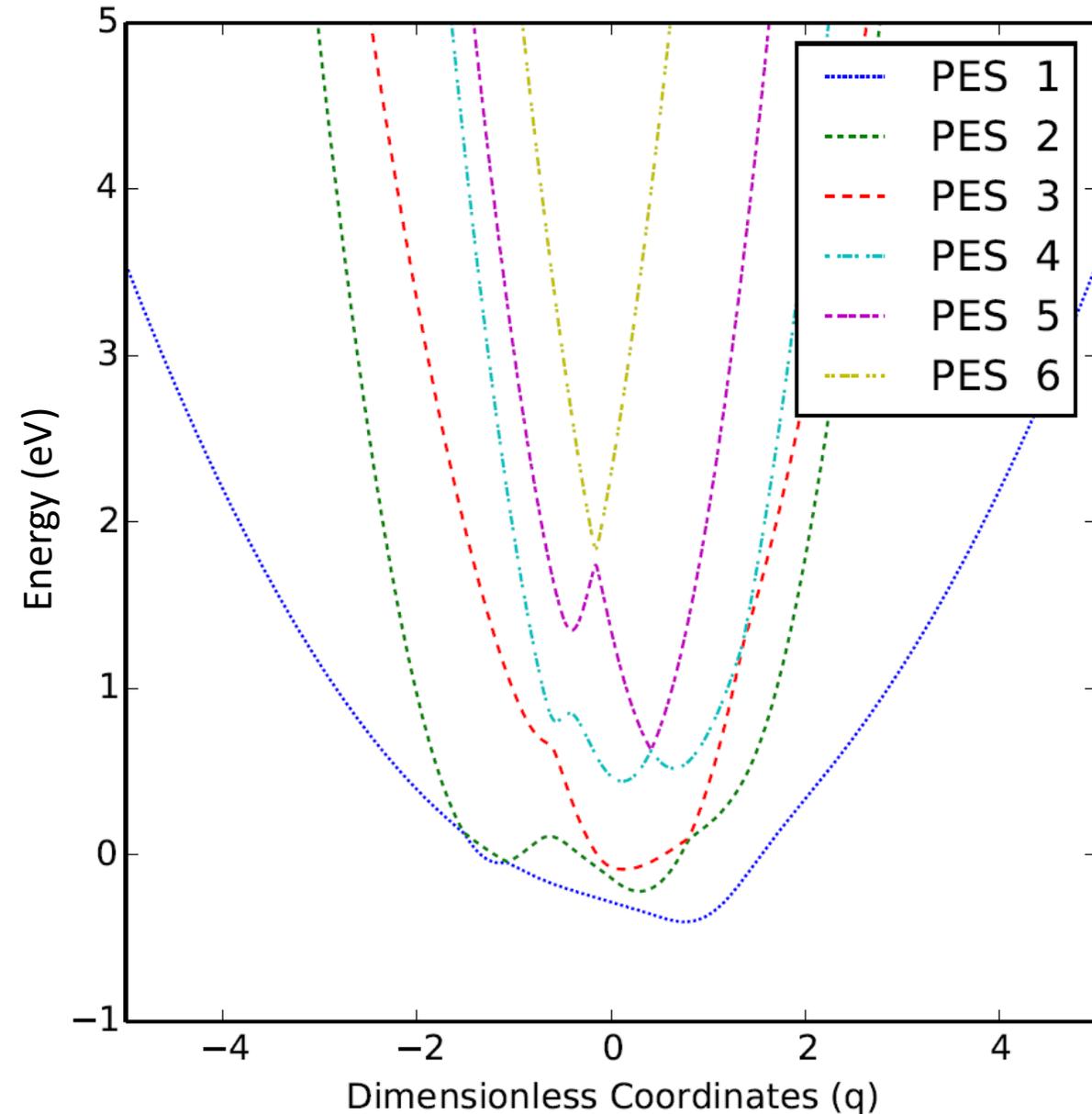
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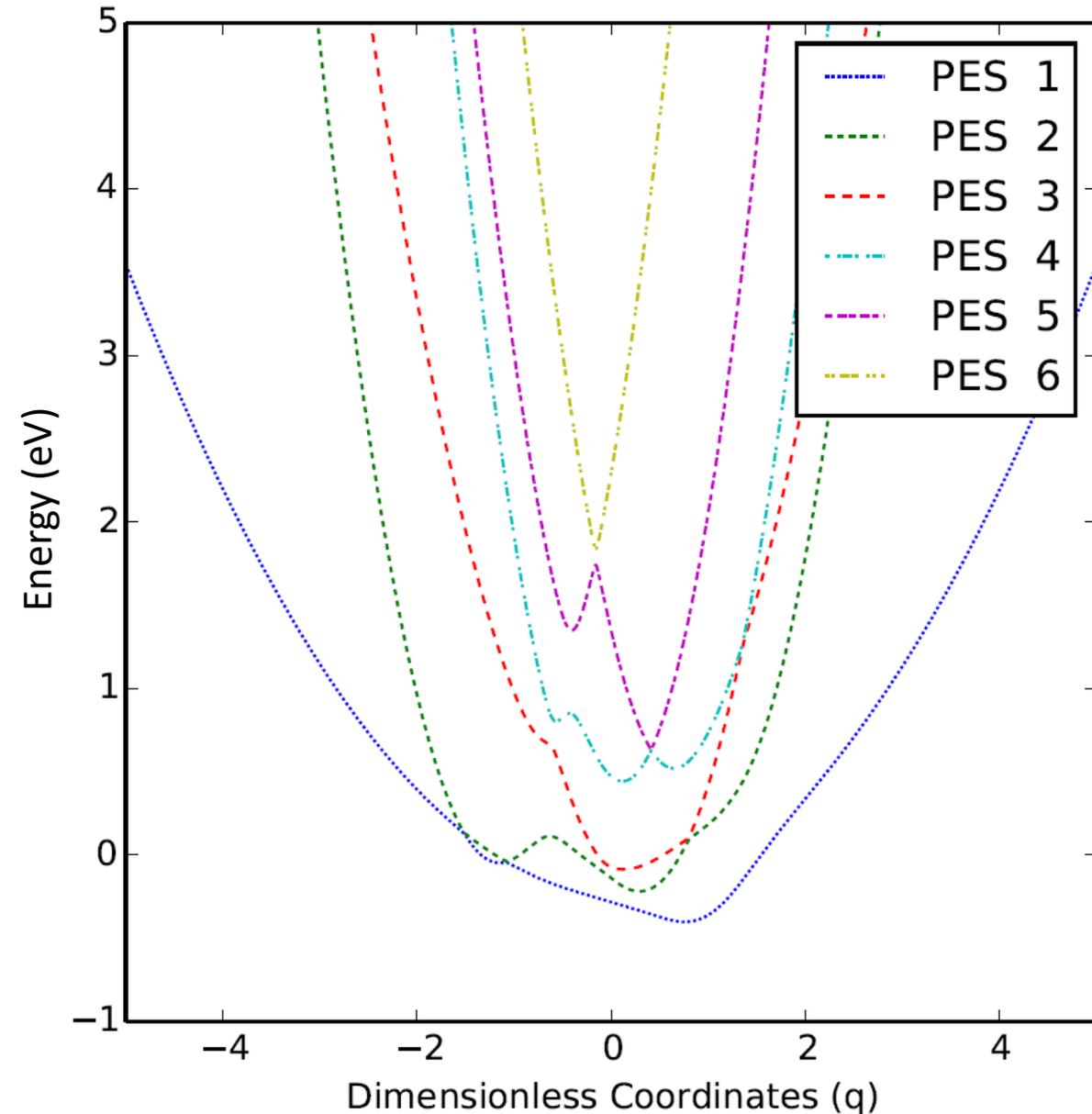
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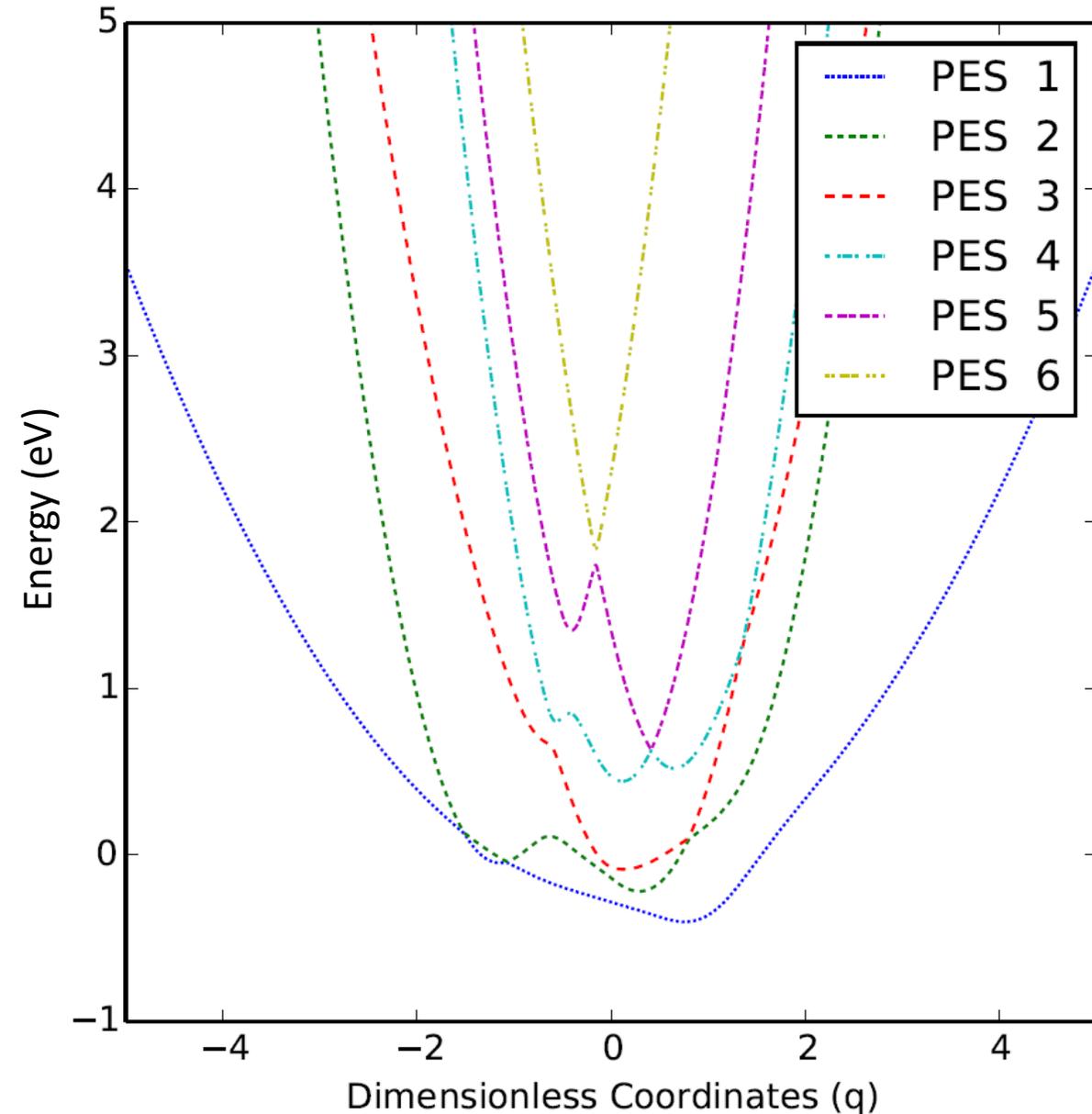
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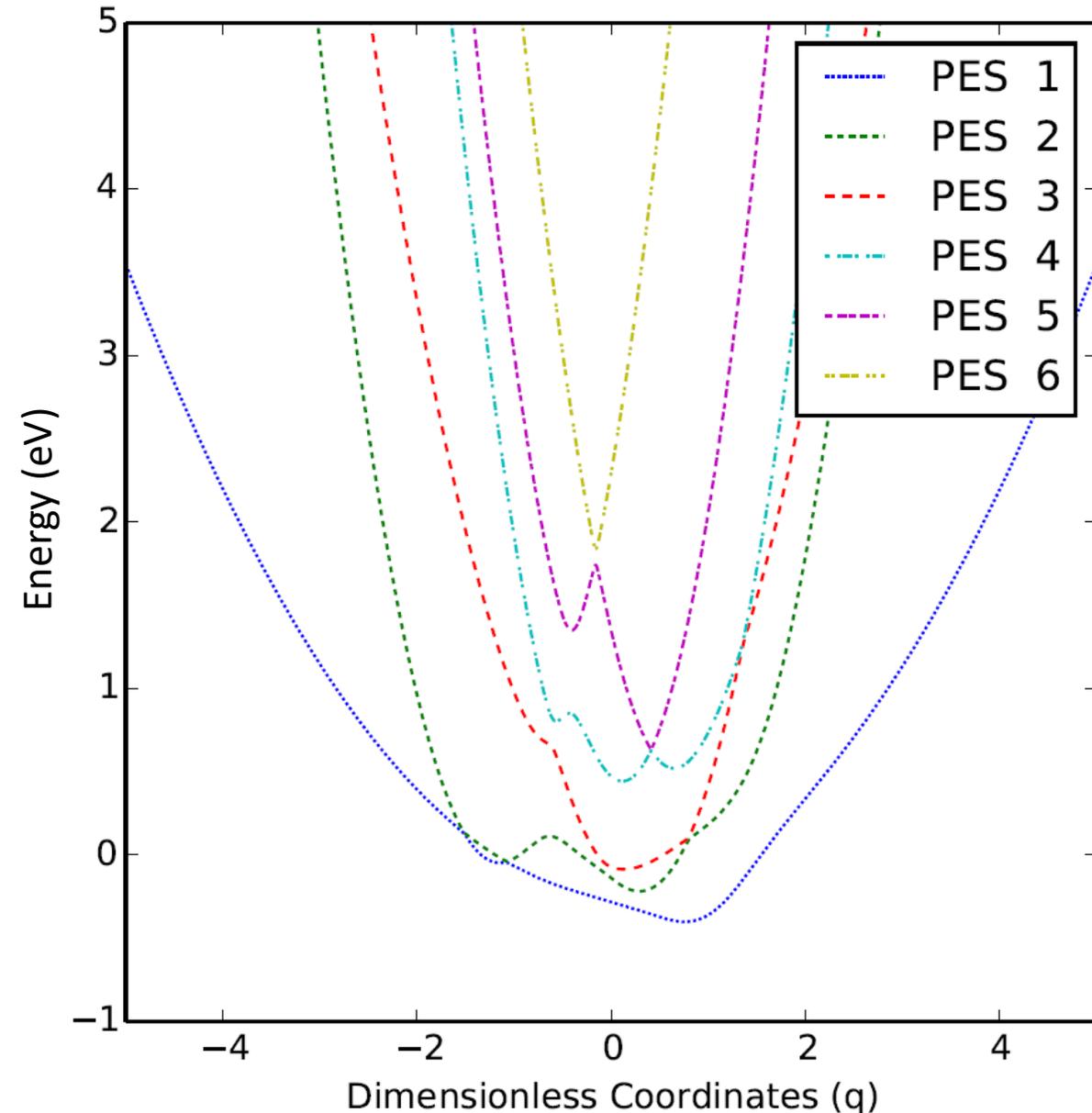
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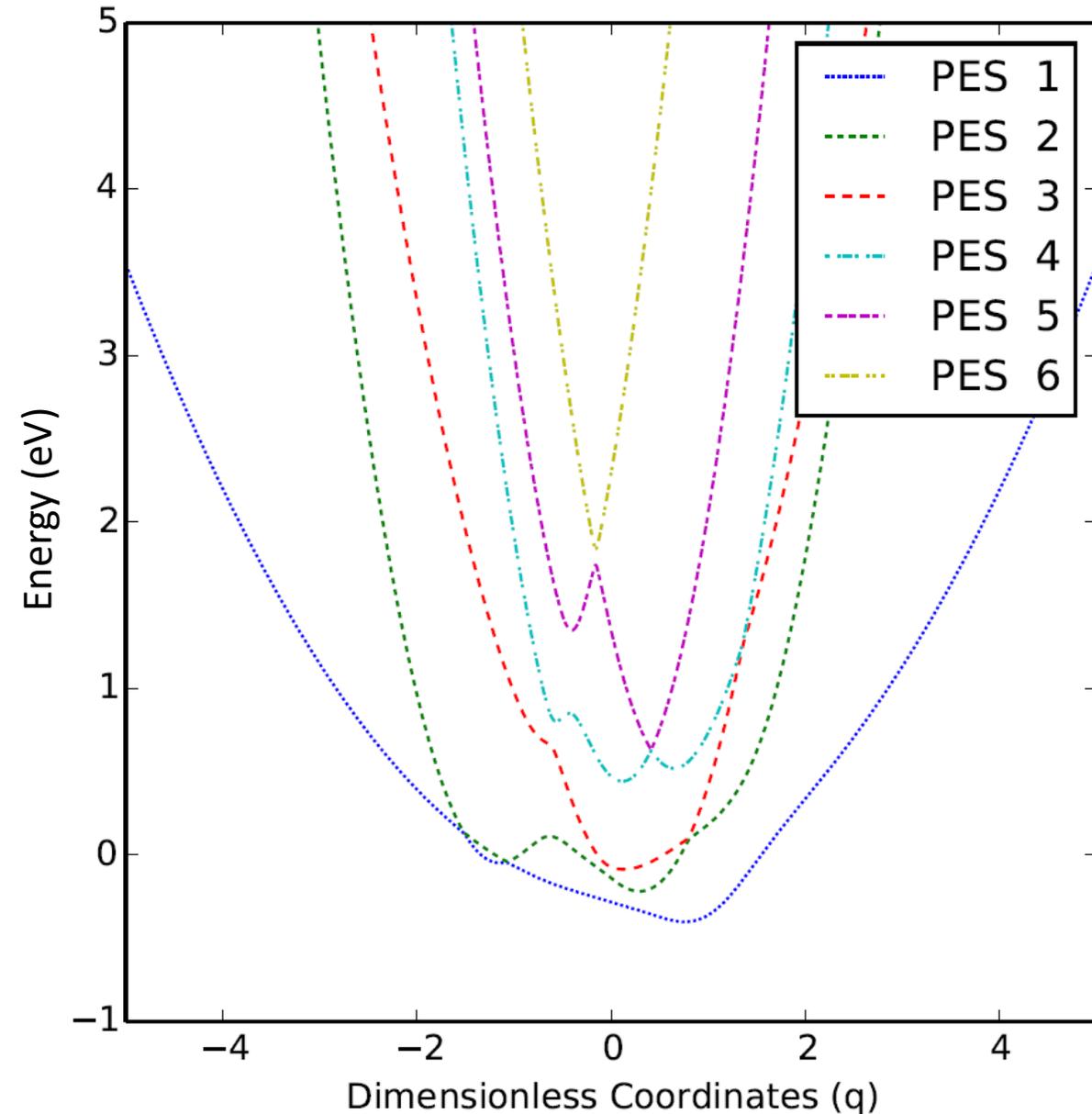
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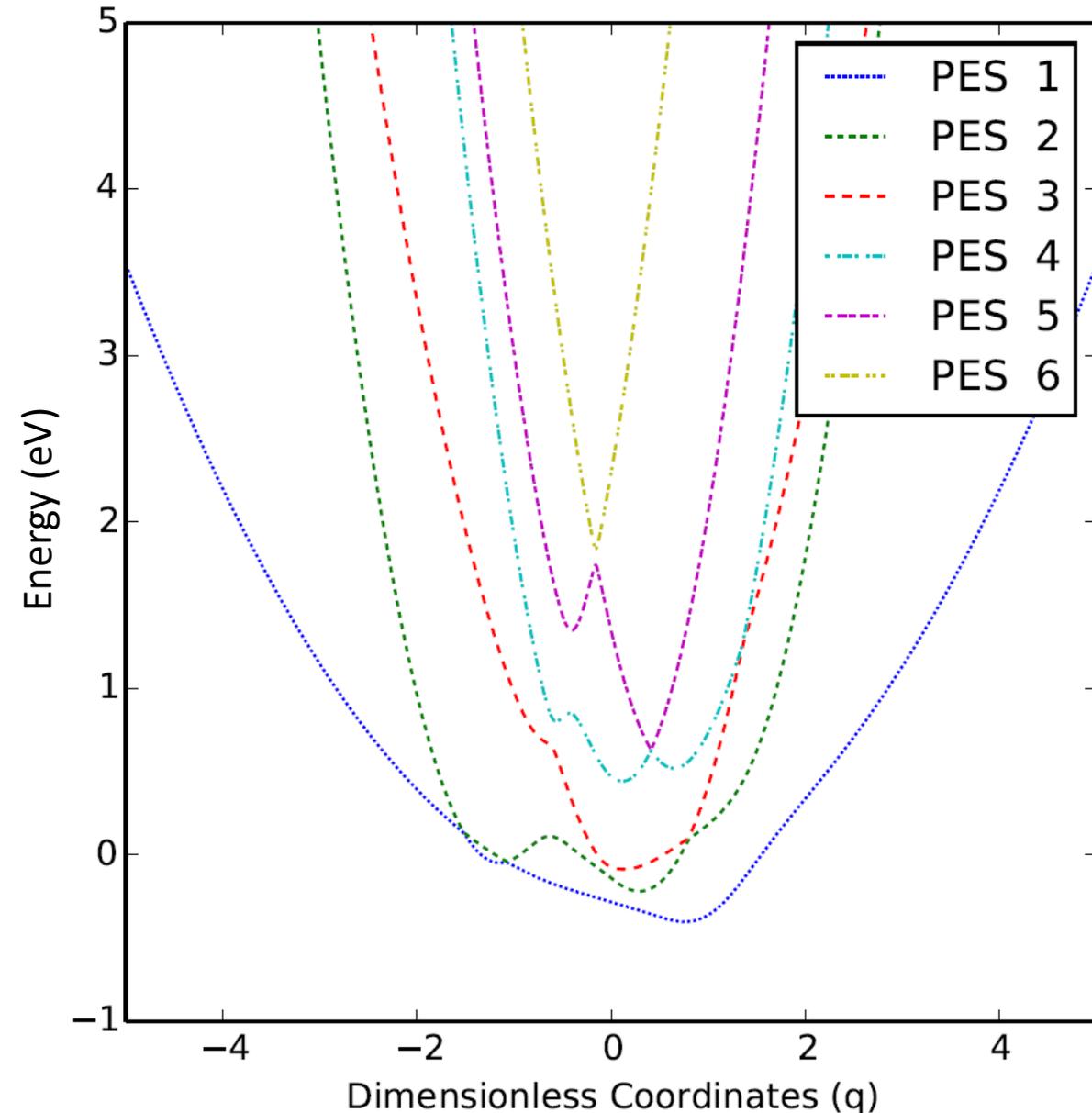
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- } Vibronic Hamiltonian



Born–Oppenheimer

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Electronic Hamiltonian

Nuclear & Electronic separation

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$$\hat{H}\psi_{bo} = \left[\hat{T}_N(R) + \hat{V}_{NN}(R) + \hat{H}_e(r; R) \right] \phi(R)\chi(r; R)$$

Obtain electronic energies

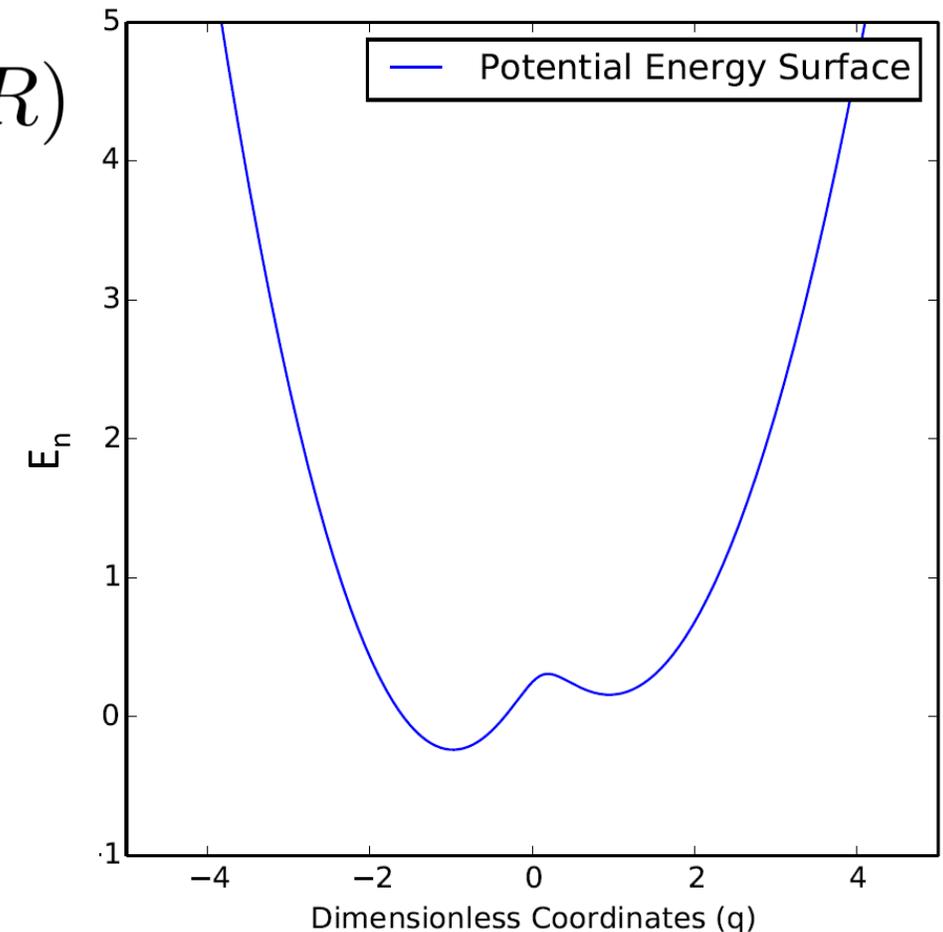
$$\begin{aligned} & \hat{H}_e(r; R)\chi_n(r; R) \\ &= \left(\hat{T}_e(r) + \hat{V}_{ee}(r) + \hat{V}_{Ne}(r; R) \right) \chi_n(r; R) \\ &= E_n(R)\chi_n(r; R) \end{aligned}$$

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$$= E_n(R)\chi_n(r; R)$$



Solve for a given configuration R

$$\begin{aligned}\hat{H}\phi_n &= \left[\hat{T}_N(R) + \underbrace{\hat{V}_{NN}(R) + E_e(R)} \right] \phi_n(R) \\ &= \left[\hat{T}_N(R) + U_e(R) \right] \phi_n(R) \\ &= E\phi_n\end{aligned}$$

Terminology

$$\psi_{bo} = \underbrace{\phi(R)}_{\text{Nuclear}} \underbrace{\chi(r; R)}_{\text{Electronic}}$$

- Adiabatic $\hat{H} = \begin{pmatrix} \hat{T}_{11} & \hat{T}_{12} \\ \hat{T}_{21} & \hat{T}_{22} \end{pmatrix} + \begin{pmatrix} \hat{V}_{11} & 0 \\ 0 & \hat{V}_{22} \end{pmatrix} \chi_A(r; R)$

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$$\chi_A(r; R)$$



Unitary
Transformation

$$\chi_D(r; R)$$

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- Diabatic

$$\hat{H} = \begin{pmatrix} \hat{T}_{11} & 0 \\ 0 & \hat{T}_{22} \end{pmatrix} + \begin{pmatrix} \hat{V}'_{11} & \hat{V}_{12} \\ \hat{V}_{21} & \hat{V}'_{22} \end{pmatrix}$$

$$\chi_A(r; R)$$



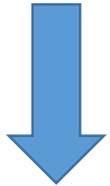
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$$\begin{array}{c} \chi_A(r; R) \\ \downarrow \text{Unitary Transformation} \\ \chi_D(r; R) \end{array}$$

- Nonadiabatic
 - Systems with close or intersecting energy surfaces
 - Effects due to these off diagonal coupling terms

Examples of nonadiabatic effects

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- Drives essential parts of visible and ultraviolet photochemistry and photobiology

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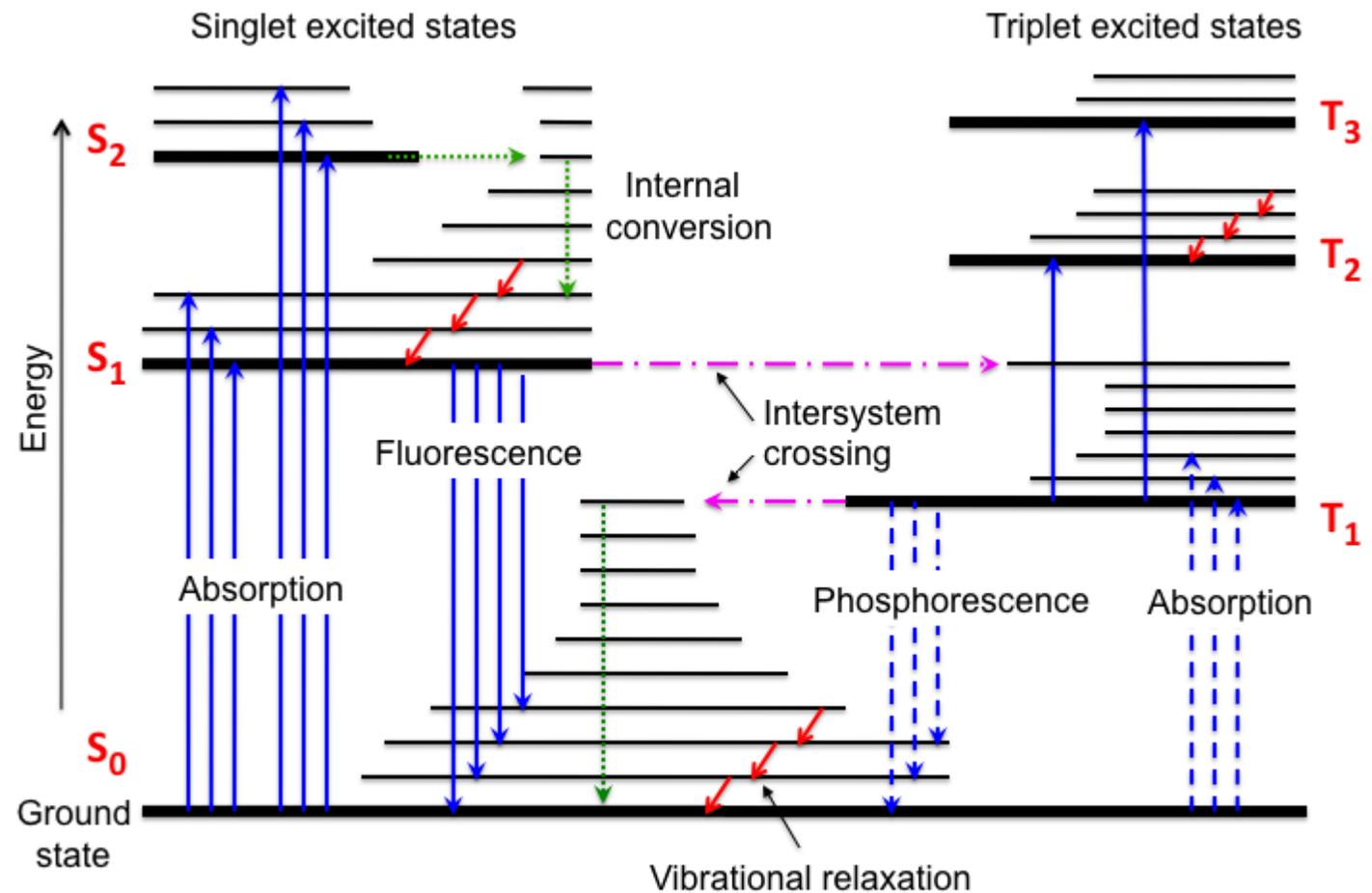
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- Drives essential parts of visible and ultraviolet photochemistry and photobiology
- Collisions of electronically excited species
- Chemiluminescent reactions
- Electron transfer processes

Conical intersections and internal conversion

Internal conversion

Transition from higher to lower electronic state without emission of photons



Conical intersections and internal conversion

Conical intersection

Molecular Geometry point at which two potential energy surfaces are degenerate (intersect)

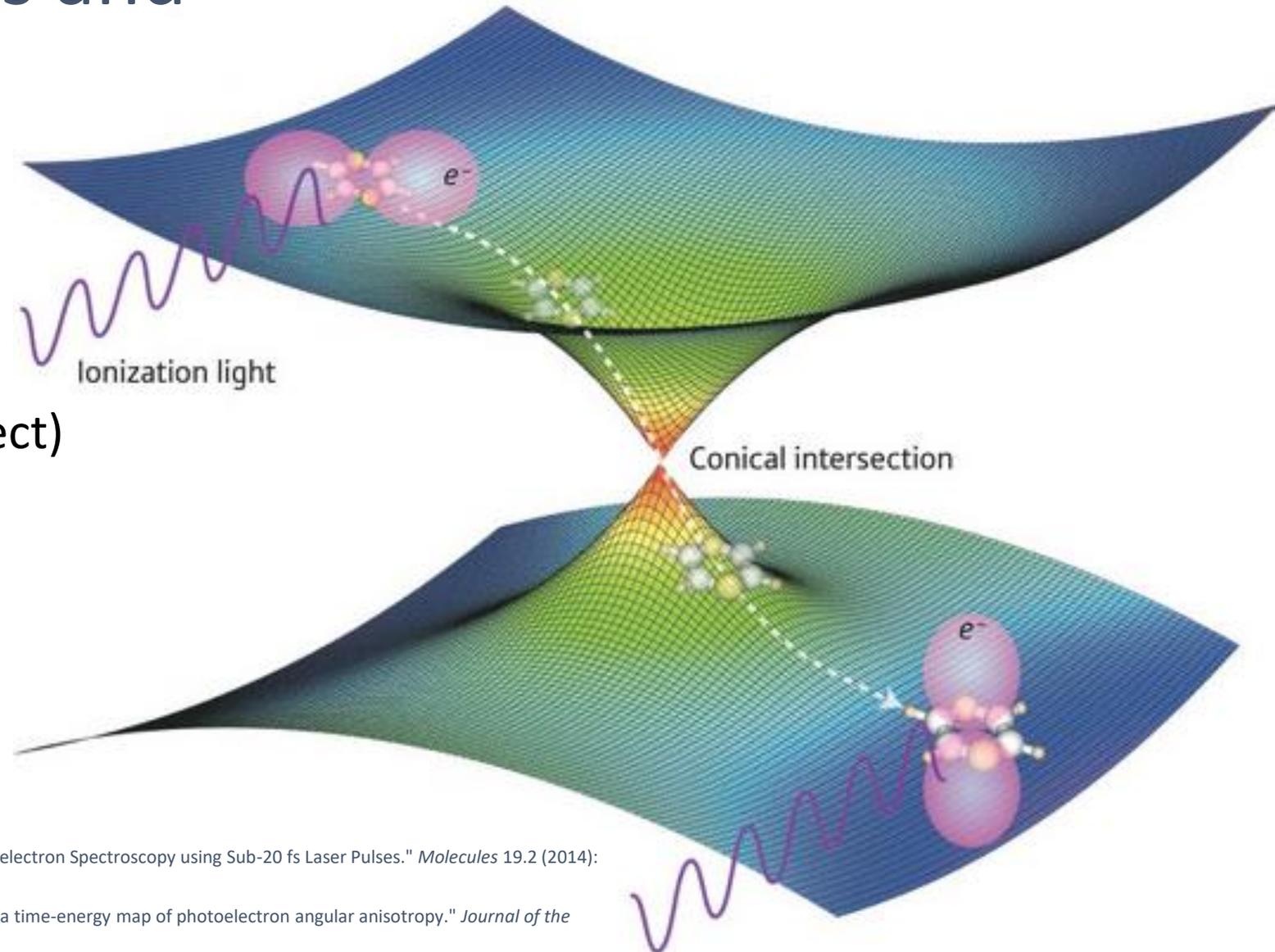
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Conical intersections and internal conversion

Conical intersection

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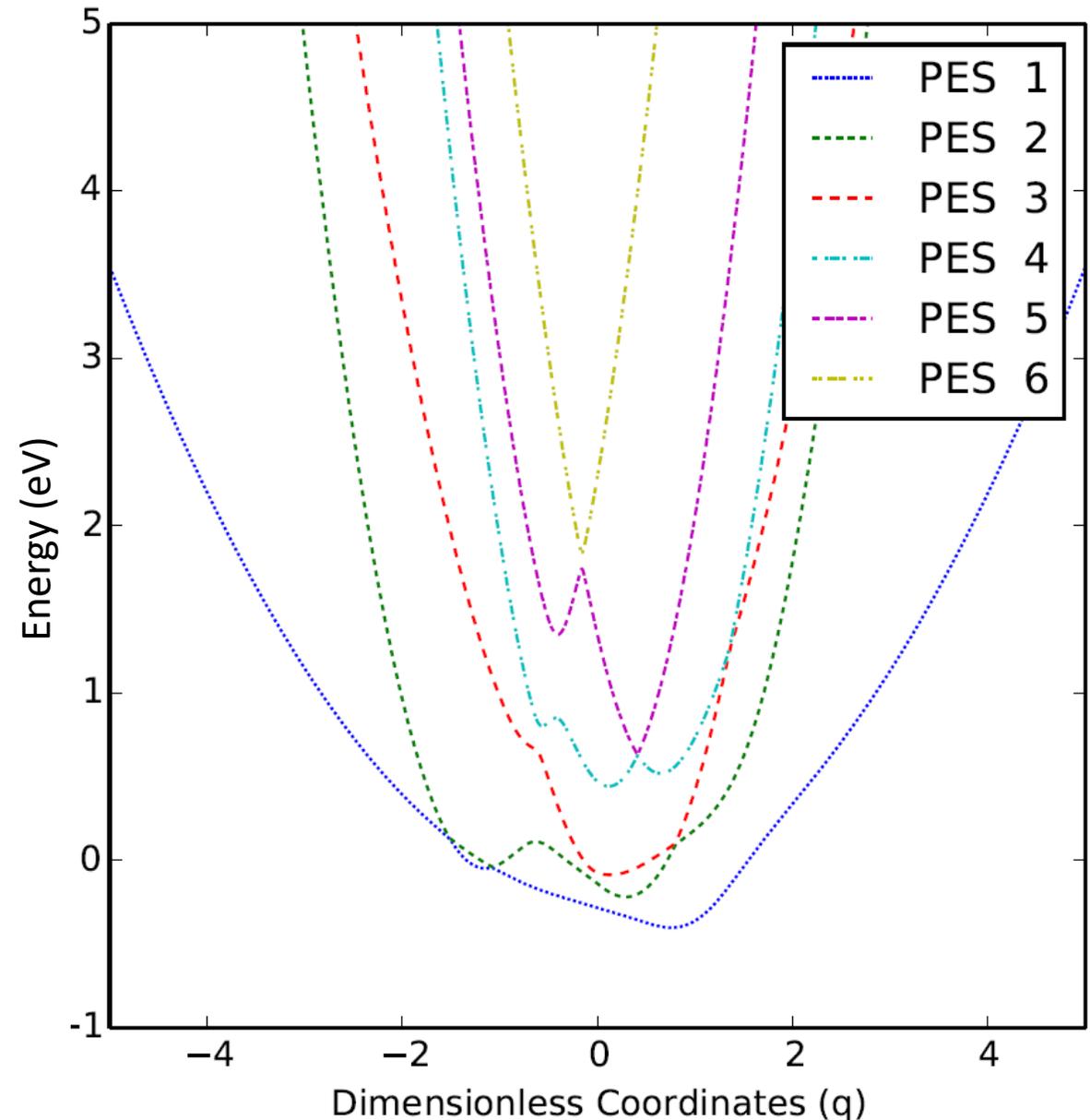


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Nonadiabatic effects

- Most discussion is in terms of dynamics and relates to processes that occur over time
- Our focus is on thermodynamic properties of nonadiabatic systems in thermal equilibrium



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- Path Integral Formulation
 - Inclusion of electronic surfaces

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- Method 1: Direct calculation of Z
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- Method 2: Coordinate Scaling

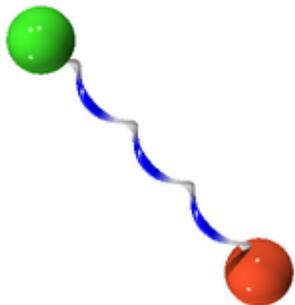
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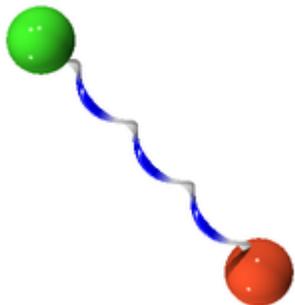
Classical limit $P = 1$



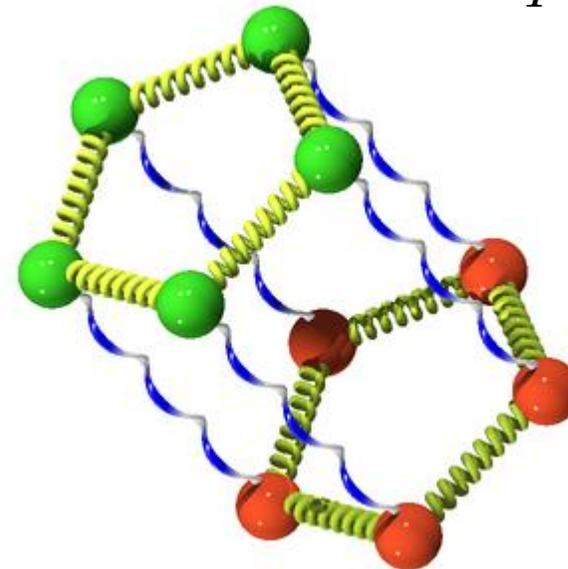
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Classical limit $P = 1$



Quantum limit $\lim_{P \rightarrow \infty}$



Path Integral Formulation

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3. Giving us the following expression for Z

$$Z = \lim_{P \rightarrow \infty} \int d\{\mathbf{R}_\gamma\} \sum_{\{n_\alpha\}=1}^N \prod_{i=1}^P \langle \mathbf{R}_i, n_i | e^{-\tau \hat{H}} | \mathbf{R}_{i+1}, n_{i+1} \rangle$$

Path Integral Formulation

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Structure of Hamiltonian - Trotter

- Re-express vibronic Hamiltonian

$$\hat{H}_{vib} = \hat{T}(\mathbf{R}) + \hat{U}(\mathbf{R})$$

- Trotter factorize

$$\langle \mathbf{R}_i, n_i | e^{-\tau \hat{H}} | \mathbf{R}_{i+1}, n_{i+1} \rangle$$

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Analytical forms

- Probability distribution function of the system of interest

$$g(\{\mathbf{R}\}) = \text{Tr}[\mathbb{O}(\mathbf{R}_1, \mathbf{R}_2)\mathbb{M}(\mathbf{R}_2)\mathbb{O}(\mathbf{R}_2, \mathbf{R}_3) \cdots \mathbb{O}(\mathbf{R}_P, \mathbf{R}_1)\mathbb{M}(\mathbf{R}_1)]$$

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Expected value of function $f(x)$ with normalized probability distribution function $p(x)$

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- We would like to sample from $\varrho(\{\mathbf{R}\})$

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$A = -k_B T \ln(Z)$	$C_v = \frac{1}{k_B T^2} \left(\frac{1}{Z} \frac{\partial^2 Z}{\partial \beta^2} - \left[\frac{-1}{Z} \frac{\partial Z}{\partial \beta} \right]^2 \right)$
$S = \frac{\partial}{\partial T} (k_B T \ln(Z))$	$U = -\frac{1}{Z} \frac{\partial Z}{\partial \beta} = -\frac{\partial \ln(Z)}{\partial \beta}$

Method 2: Coordinate scaling

$$Z(\beta') = \int dq \varrho(q, \beta) \frac{g(q', \beta')}{\varrho(q', \beta')}$$

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$$\begin{aligned} \frac{\partial Z(\beta')}{\partial \beta'} &= \int dq \varrho(q, \beta) \frac{\partial}{\partial \beta'} \left[f(q', \beta') \right]_{\beta'=\beta} \\ &= \int dq \varrho(q, \beta) \frac{1}{2\Delta\beta} \left[f(q', \beta + \Delta\beta) - f(q', \beta - \Delta\beta) \right] \end{aligned}$$

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$A = \langle e \rangle_g - T \left[\int_0^{T_f} \frac{\langle c \rangle_g}{T} dT \right]$	$C_v = \langle c \rangle_g = \frac{\langle cw \rangle_\varrho}{\langle w \rangle_\varrho}$
$S = \int_0^{T_f} \frac{C_v}{T} dT$	$U = \langle e \rangle_g = \frac{\langle ew \rangle_\varrho}{\langle w \rangle_\varrho}$

Sum over states method

Armadillo C++ template library

$$Z = \sum_n e^{-\beta E_n}$$

$$A = U - TS$$

$$C_v = \frac{1}{k_B T^2} \left(\sum_n E_n^2 \frac{e^{-\beta E_n}}{Z} - U^2 \right)$$

$$U = \frac{1}{Z} \sum_n E_n e^{-\beta E_n}$$

$$S = -\frac{k_B}{Z} \sum_n e^{-\beta E_n} \ln(p_n)$$

Sum over states method

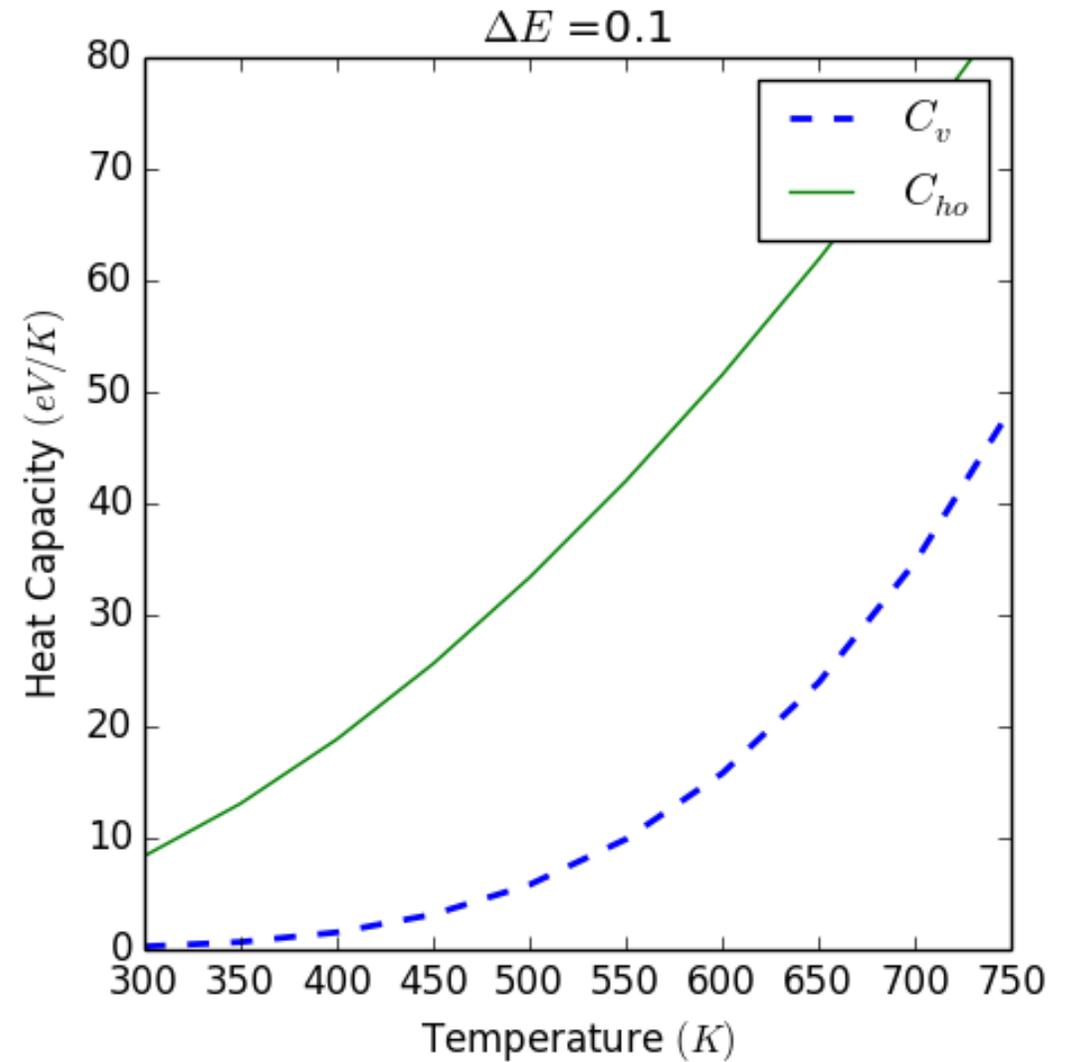
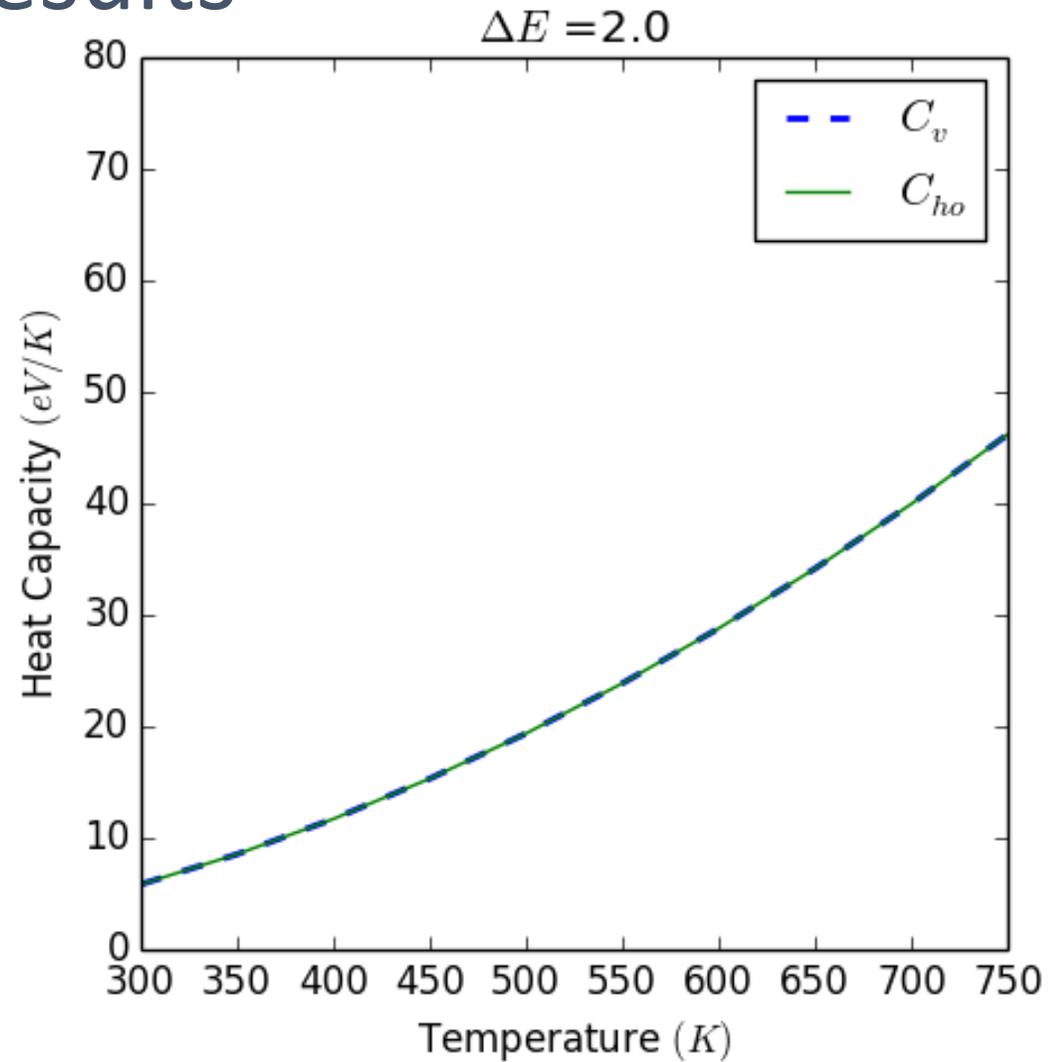
- Vibronic Hamiltonian (with coupling)

$$\begin{bmatrix} E_1 + \frac{1}{2}\omega_1 (q - q_{m1})^2 & \mu q & & & & \\ \mu q & E_2 + \frac{1}{2}\omega_2 (q - q_{m2})^2 & & & & \\ \mu q & & E_3 + \frac{1}{2}\omega_3 (q - q_{m3})^2 & & & \\ \mu q & & & E_4 + \frac{1}{2}\omega_4 (q - q_{m4})^2 & & \\ \mu q & & & & E_5 + \frac{1}{2}\omega_5 (q - q_{m5})^2 & \\ & & & & & \dots \end{bmatrix}$$

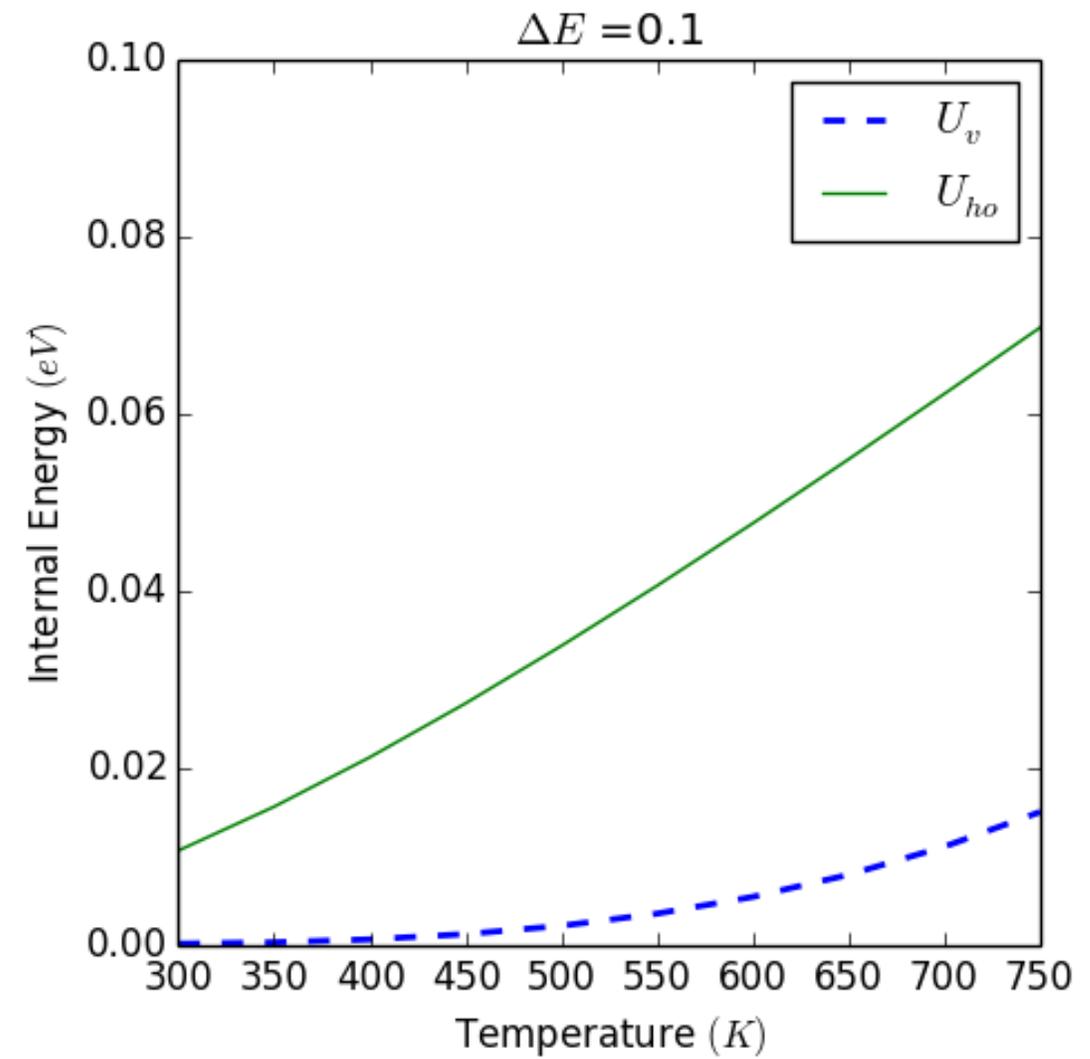
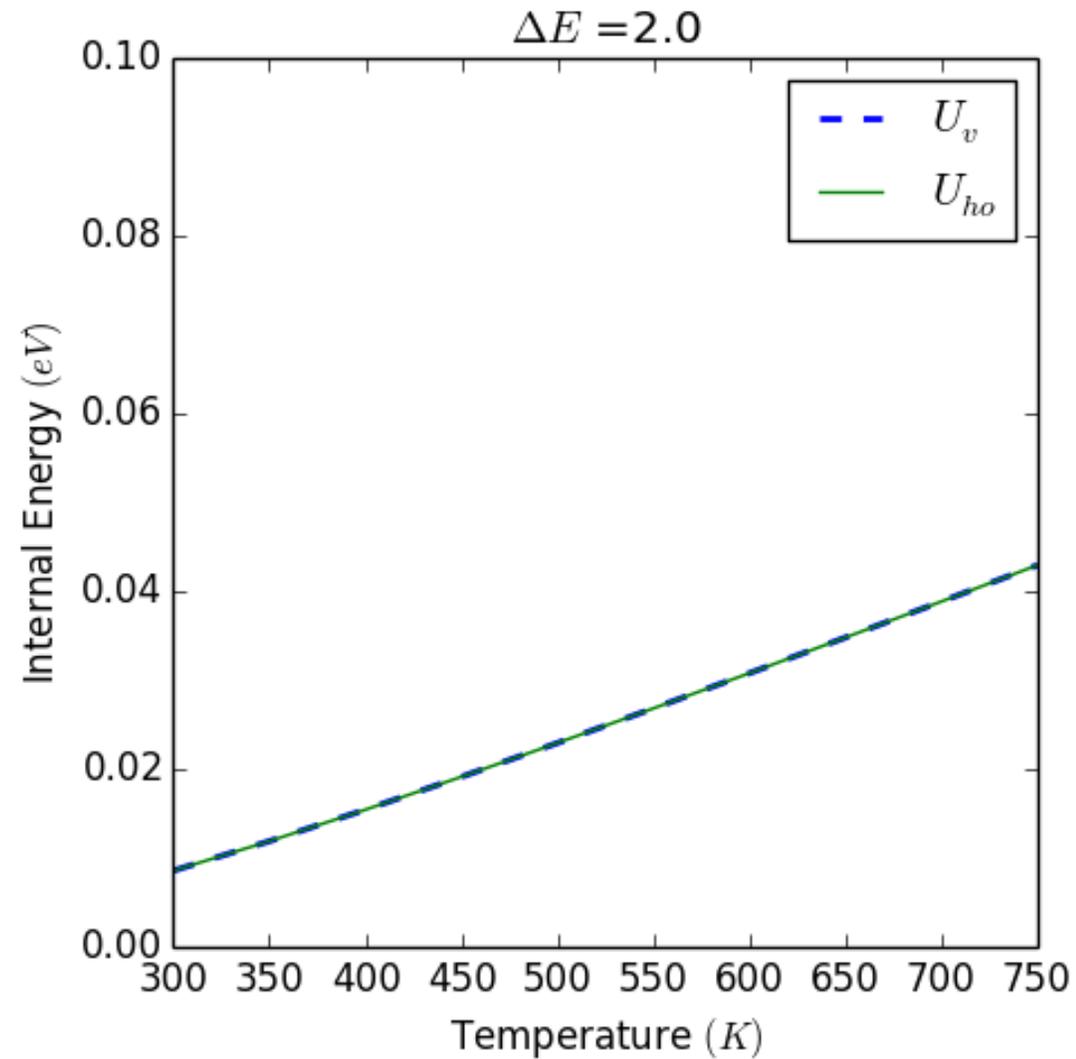
- Harmonic Hamiltonian (without coupling)

$$\begin{bmatrix} E_1 + \frac{1}{2}\omega_1 (q - q_{m1})^2 & 0 & & & & \\ 0 & E_2 + \frac{1}{2}\omega_2 (q - q_{m2})^2 & & & & \\ 0 & & E_3 + \frac{1}{2}\omega_3 (q - q_{m3})^2 & & & \\ 0 & & & E_4 + \frac{1}{2}\omega_4 (q - q_{m4})^2 & & \\ 0 & & & & E_5 + \frac{1}{2}\omega_5 (q - q_{m5})^2 & \\ & & & & & \dots \end{bmatrix}$$

Results



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Current Progress

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- Completed PI python script for uncoupled system

$$Z = \left\langle \frac{g(\{\mathbf{R}\})}{\varrho(\{\mathbf{R}\})} \right\rangle_{\varrho} \left(\int d\{\mathbf{R}_{\gamma}\} \varrho(\{\mathbf{R}\}) \right)$$

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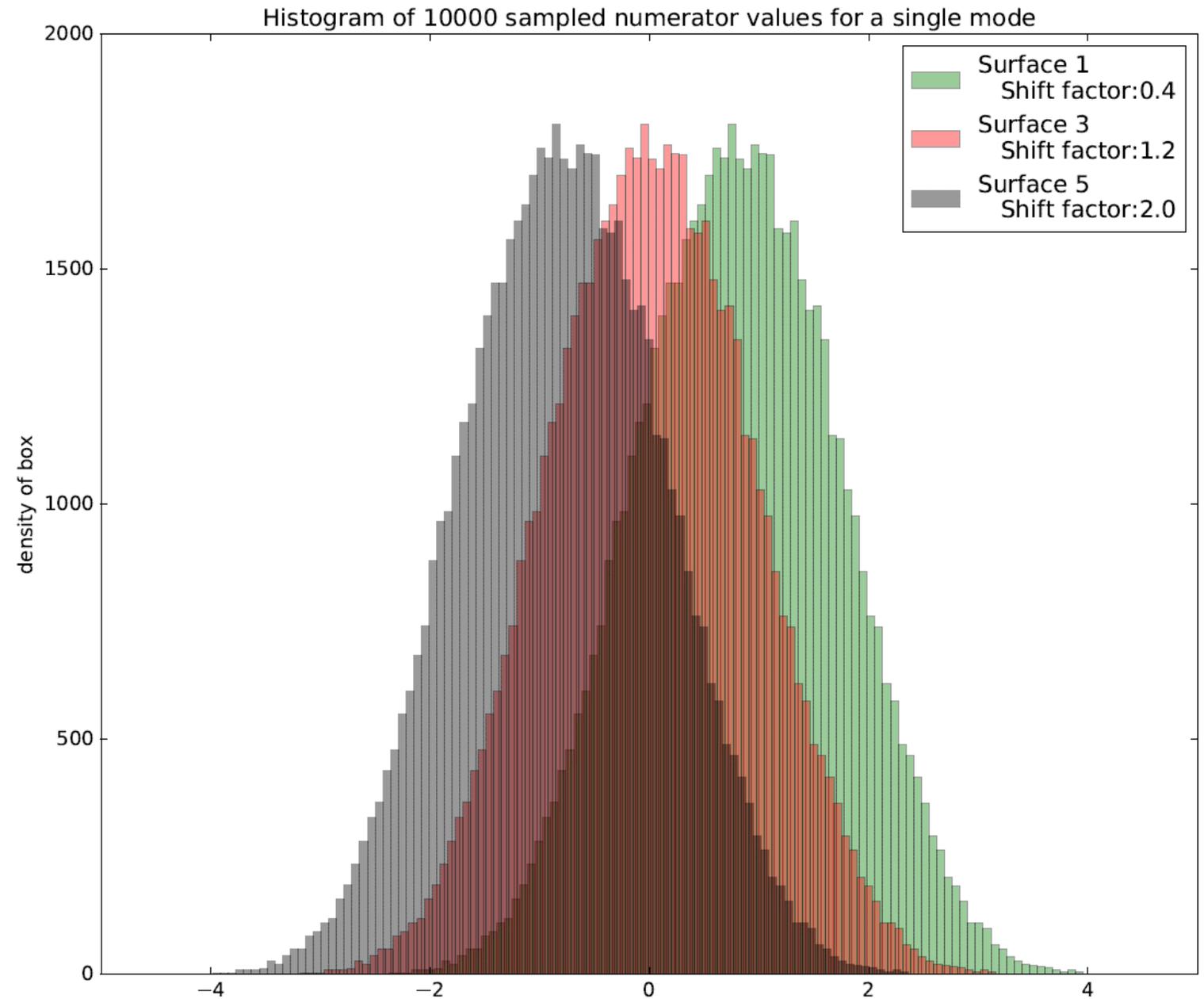
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$$\left\langle \frac{g(\{\mathbf{R}\})}{\varrho(\{\mathbf{R}\})} \right\rangle_{\varrho}$$



Future

- Implement support for arbitrary coupling propagator $[\mathbf{M}(\mathbf{R}_{i+1})]_{n_i, n_{i+1}}$
- Implement quadratic and linear terms in SOS/analytical code(*correctly)
- Implement analytic portion of Z calculation $\left(\int d\{\mathbf{R}_\gamma\} \varrho(\{\mathbf{R}\}) \right)$
- Replicate the Python code in Fortran for increased performance

Conclusions

- Developed a path integral formulation for nonadiabatic systems
- Developed two approaches to calculating Z
- Derived estimators for thermodynamic properties
- About to start testing convergence of Path Integral Code